

Evaluation of Optimal-Guidance Algorithm for Aeroassisted Orbit Transfer

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In this paper we perform an evaluation of a guidance algorithm for aeroassisted orbit transfer based on the method of matched asymptotic expansions (MAEs). It is shown that, by exploiting the structure of the matched asymptotic expansion solution procedure, the original problem, which requires the solution of a set of 20 implicit algebraic equations, can be reduced to a problem of 6 implicit equations in 6 unknowns. Guidance law implementation entails treating the current state as a new initial state and repetitively solving the MAE problem to obtain the feedback controls.

I. Introduction

AN objective in any guidance study related to aeroassisted orbit transfer vehicles is the development of solutions that are implementable in real time and on board the vehicle. Therefore, solutions that are both near optimal and that require a minimum of computation are of primary interest. In this paper we perform a detailed evaluation of a guidance algorithm for aeroassisted inclination change with minimum energy loss that was first developed in Ref. 1. The algorithm is based on the method of matched asymptotic expansions (MAEs). The interested reader is referred to Ref. 1 for the background on this problem and the references there that are directly related to this research.

The paper begins with a summary of the results in Ref. 1. This is followed by a description of the zero-order MAE solution procedure, which makes use of a unique approach for solving the algebraic equations in Ref. 1. The paper concludes by giving some representative results wherein the solution is examined both in an open-loop setting and in the setting of closed-loop guidance.

II. Zero-Order MAE Solution

A complete set of integrals for the state and costate equations for the problem of inclination change with minimum energy loss were presented in Ref. 1. Enforcing the MAE matching conditions, boundary conditions, and optimality conditions results in a set of 20 nonlinear algebraic equations. The solution of these equations provides the information needed to form a guidance algorithm that is uniformly valid to $(\mathcal{O}(\varepsilon))$, where ε is a perturbation parameter defined below.

The reduced three-dimensional point-mass equations of motion for a lifting vehicle over a spherical nonrotating planet are given by

$$\frac{du}{dh} = -\frac{Bu(1+\lambda^2)e^{-h/\varepsilon}}{\varepsilon E^* \sin \gamma} - \frac{2}{(1+h)^2} \quad (1)$$

$$\frac{d\gamma}{dh} = B\lambda \frac{\cos \mu e^{-h/\varepsilon}}{\varepsilon \sin \gamma} + \left(\frac{1}{1+h} - \frac{1}{u(1+h)^2} \right) \cot \gamma \quad (2)$$

$$\frac{d\psi}{dh} = B\lambda \frac{\sin \mu e^{-h/\varepsilon}}{\varepsilon \sin \gamma \cos \gamma} \quad (3)$$

where the parameter ε is the ratio of the atmospheric scale height $(1/\beta)$ to the minimum trajectory radius r_s . For Earth the value of ε is approximately 1/900. The definitions for the remaining variables are

given below. Using the definition of u given in Eq. (12) below, the objective of minimizing energy loss can be equivalently expressed as

$$\max\{J\}, \quad J = u_f \quad (4)$$

Assume that the initial conditions u_i , γ_i , and ψ_i are given, along with the initial radius r_i . For the purpose of exposition, assume that γ_f , ψ_f , and the final radius r_f are given. The corresponding transversality condition is $P_u^c(h_f) = 1$.

The controls are the normalized lift coefficient λ and the bank angle μ , which are assumed not to be beyond their limits. The optimal control is obtained as a function of the state and costate variables by solving the optimality conditions. The resulting expressions are

$$\begin{aligned} \tan \mu &= P_\psi / P_\gamma \cos \gamma, \\ \lambda &= E^* (P_\gamma \cos \mu + P_\psi \sin \mu / \cos \gamma) / 2u P_u \end{aligned} \quad (5)$$

where p_u , p_γ , and p_ψ are the associated costate variables. To determine uniquely the quantities $\sin \mu$ and $\cos \mu$ used in Eqs. (1–3), an appropriate statement was implemented in the computer code that takes into account the quadrant of the angle μ .

The zero-order equations for the outer problem can be obtained by simply taking the limit as ε approaches zero on the right-hand side of Eqs. (1–3). The general solution for the outer system to zero order in ε is given by

$$u_0^o(h) = 2[c_1 + 1/(1+h)] \quad (6)$$

$$\cos \gamma_0^o(h) = c_2/(1+h) \sqrt{u_0^o(h)} \quad (7)$$

$$\psi_0^o(h) = c_3 \quad (8)$$

$$P_{u0}^o(h) = -a_2/2u_0^o + a_1 \quad (9)$$

$$P_{r0}^o(h) = a_2 \tan \gamma_0^o \quad (10)$$

$$P_{\psi0}^o(h) = a_3 \quad (11)$$

The subscript zero denotes the fact that these are the zero-order solutions in the expansion variable $\varepsilon = 1/\beta r_s$, and the superscript o denotes outer solution.

The dimensionless variables h and u appearing above are defined in terms of the original dimensional state variables as

$$h = (r - r_s)/r_s, \quad u = V^2/g_s r_s \quad (12)$$

Note that $h = 0$ at the reference radius and that h is used as the independent variable in the original problem definition and in the outer solution.

In the inner region, where the aerodynamic force is dominant, a new stretched altitude η and state variables w and v are defined as

$$w = Be^{-\eta}, \quad \eta = h/\varepsilon, \quad B = C_L^* \rho_s/2m\beta \quad (13)$$

$$v = E^* l_n(1/g_s r_s u), \quad E^* = C_L^*/C_D^* \quad (14)$$

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In the above expressions V is the velocity, r is the radius from Earth's center, g is the gravitational acceleration, ρ_s is the reference air density at $r = r_s$, s is the reference area, m is the mass, and C_L^* and C_D^* are the lift and drag coefficients corresponding to the maximum lift-to-drag ratio. The reference radius corresponds to the lowest altitude of the trajectory.

The zero-order equations for the inner problem can be obtained by expressing the system in Eqs. (1–3) in terms of the inner variables appearing in Eqs. (13) and (14) and simply taking the limit as ε approaches zero on the right-hand sides. The general solution for the inner system to zero order in ε is given by

$$\gamma_0^i(\psi_0^i) = -k_1\psi_0^{i2}/2 + k_2\psi_0^i + k_3 \quad (15)$$

$$w_0^i(\psi_0^i) = [k_1\psi_0^{i3}/6 - k_2\psi_0^{i2}/2 - k_3\psi_0^i + k_4]/\sigma \quad (16)$$

$$v_0^i(\psi_0^i) = (\sigma + 1/\sigma)\psi_0^i + \sigma[(\psi_0^i k_1 - k_2)^3]/3k_1 + k_5 \quad (17)$$

and

$$P_{0v}^i(\psi_0^i) = (P_{0w}^i/\sigma)\psi_0^i + c \quad (18)$$

$$P_{0w}^i = \text{const}, \quad P_{0v}^i = \text{const} \quad (19)$$

where

$$k_1 = P_{0w}^i/2\sigma^2 P_{0v}^i, \quad k_2 = -c/2\sigma P_{0v}^i \quad (20)$$

The superscript i in the above equations denotes that a variable is associated with the inner expansion. Note that for the inner problem ψ is used as the independent variable.

The inner variables w and v are related to the outer variables h and u by the transformations in Eqs. (13) and (14). The outer solution costate variables can be expressed in terms of the corresponding inner solution costate variables using

$$P_{0u}^i = P_{0v}^i \frac{\partial v}{\partial u} \Big|_0^i = -\frac{E^* P_{0v}^i}{u_0^i} \quad (21)$$

where σ is the normalized horizontal lift component given by

$$\sigma = 1/(1 + k_2^2 + 2k_1 k_3)^{1/2} \quad (22)$$

A uniformly valid zero-order approximation for the optimal solution is constructed by adding the outer and inner solutions and subtracting their common parts:

$$x_0^c(h, \varepsilon) = x_0^o(h) + x_0^i(h/\varepsilon) - x_0^o(0) \quad (23)$$

The superscript c is used to denote this composite solution, and x is used to denote any of the variables of interest. Note that the composite solution must have h as the independent variable to be consistent with the original MAE problem formulation. Also note that the matching conditions require

$$x_0^o(0) = x_0^i(\infty) \quad (24)$$

and that the boundary conditions are satisfied using the composite solution. The limit values in Eq. (24) also define the common parts of the inner and outer solutions.

The entry and exit Keplerian arcs (outer solution) are different in their orbital parameters. Thus there are two separate outer solutions (called the left and right solutions in Ref. 1). In total, there are 18 integration constants (12 from the two outer solutions and 6 from the inner solution) that need to be evaluated to obtain a composite solution. The reference radius r_s and the horizontal component of lift σ , which was shown to be constant to zero order in ε , bring the total number of unknowns to 20. The left and right matching conditions and the boundary conditions for the composite solution provide 18 algebraic equations. Two additional equations are obtained by using $H_\sigma = 0$ [Eq. (22)] and the fact that $r = r_s$ when $\gamma_0^c(0, \varepsilon) = 0$. This gives a total of 20 coupled algebraic relations between the constants, and an iterative method is needed to calculate them.

In the next section we show how to simplify the original problem by exploiting the structure of the MAE solution procedure. This results in reducing the problem to a set of six implicit equations in six unknowns. In addition, a nested iteration process is developed so that two of the boundary conditions are always satisfied in each outer loop iteration. Thus, the outer loop iteration is further reduced to solving four equations in four unknowns.

III. Zero-Order MAE Solution Procedure

The vehicle used in this study is the maneuverable research re-entry vehicle (MRRV). Its aerodynamic and mass characteristics are presented in Ref. 2. The maximum lift-to-drag ratio is 2.362, the lift coefficient corresponding to $(L/D)_{\max}$ is $C_L^* = 0.1512$, the reference area is $s = 11.69 \text{ m}^2$, and the mass is $m = 4898.7 \text{ kg}$. The constants needed for the exponential atmospheric density function were obtained by fitting to the standard atmosphere densities at 30 and 60 km. The resulting value of the scale height is $H_s = 1/\beta = 7625.4 \text{ m}$.

A Newton method was first tried to solve for the 20 unknowns. This approach was not successful due to the complexity of the relations. An alternative approach was then derived wherein the number of coupled equations was reduced to 6 by defining the unknowns to be the common parts of the separate inner and outer solutions. The equations that determine these unknowns are the original boundary conditions enforced on the composite solutions. The basic idea is to first use the common parts as artificial boundary conditions to evaluate the constants of integration in Eqs. (6–11) and (15–20), starting with an initial guess. Then a Newton method is used to iterate on the common parts until the original boundary conditions are satisfied by the composite solution.

This procedure was slightly modified to capitalize on the structure of the MAE solution for this problem. Since ψ_0^o is constant in the outer solution, it follows from Eq. (23) and the matching condition that ψ_0^c (the composite solution for ψ) is simply the zero-order inner solution (ψ_0^i). This allows the boundary conditions on ψ to be enforced using only the inner solution at each stage of the iteration process. Nested Newton iteration algorithms were implemented to determine the six unknowns using the boundary conditions for the composite solution. The inner iterations procedure corresponds to solving the inner problem with the boundary conditions on ψ enforced. The outer iteration process is used to enforce the four remaining boundary conditions on the composite solution.

The first step in the procedure is to calculate the six inner solution integration constants $k_3, k_4, k_5, P_{0w}^i, c$, and P_{0v}^i in Eqs. (15–20) using a guess for the common part values of γ_0^i, v_0^i on the left and for the common part values of γ_0^i, P_{0v}^i on the right (the constants k_1 and k_2 are known in terms of the other constants). Note that an initial guess for the common parts of w_0^i is not needed since the common part corresponds to $\eta \rightarrow \infty$. Hence it follows from Eq. (13) that the common-part values for w_0^i are zero on both the left and right sides. This particular arrangement concerning which of the common-part values are treated as unknowns was chosen to agree with the actual boundary conditions for the original problem, which greatly simplifies the problem of forming an initial guess. The procedure for forming an initial guess and the equations for evaluating the constants of integration are given in the Appendix.

Next, an inner loop Newton iteration is performed on the left and right common-part values of ψ_0^i (using only the inner solution) so that the boundary conditions $\psi_0^i(w_i) = \psi_i$ and $\psi_0^i(w_f) = \psi_f$ are satisfied, where w_i and w_f correspond to $r = r_i$ and $r = r_f$ in Eq. (13). This is done by solving the cubic equation in Eq. (16) while taking into account that $w_0^i(\psi)$ for the range of ψ of interest must be positive for the solution to have physical meaning. The inner solution procedure also determines r_s and σ (see the Appendix).

Figure 1 presents an example converged solution of this equation for $\psi_i = 0 \text{ deg}$, $\psi_f = 20 \text{ deg}$. The resulting left and right common parts of ψ_0^i are -1.10 deg and 20.75 deg , respectively, which correspond to $w_0^i = 0 (\eta \rightarrow \infty)$ in this figure. The values of w_0^i at $\psi = 0 \text{ deg}$ and $\psi = 20 \text{ deg}$ map to $z_i = z_f = 60 \text{ km}$ altitude above sea level via Eq. (13). These altitudes were specified as part of the boundary conditions. Thus the boundary conditions $\psi_i(r_i) = 0 \text{ deg}$ and $\psi_f(r_f) = 20 \text{ deg}$ have been met.

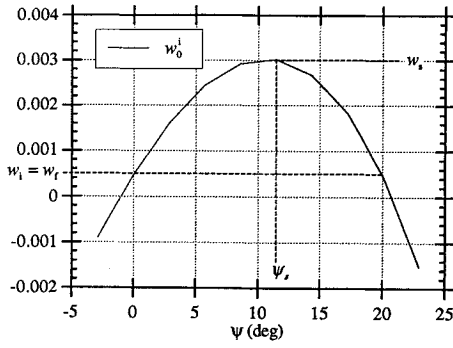


Fig. 1 Example of converged inner Newton iteration for w_0^i as a function of ψ .

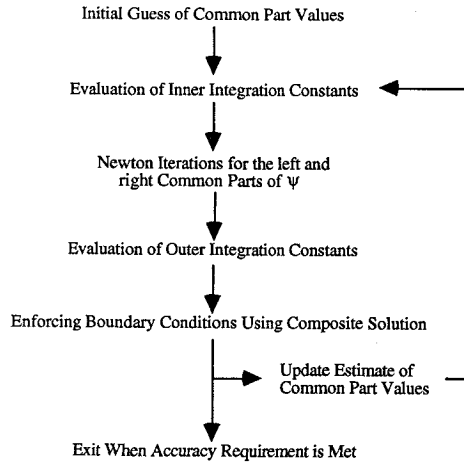


Fig. 2 Summary of numerical solution procedure.

The left and right outer solution integration constants in Eqs. (6–11) are obtained by enforcing the matching conditions in Eq. (24). This amounts to equating the common parts for the outer solution [Eqs. (6–11) evaluated at $h = 0$] with the common parts from the inner solution [Eqs. (15–20) evaluated at the left and right common-part values of ψ_0^i corresponding to $w_0^i = 0$ in Fig. 1]. Since some of the outer solution variables are different from the inner solution variables, it is necessary to employ the transformations in Eqs. (13), (14), and (21). Note that c_3 and a_3 are not needed since the outer solutions for ψ_0^o and $P_{\psi_0}^o$ are constant. Hence the composite solution for these variables is the inner solution alone. The composite solution is then evaluated to determine if the boundary conditions are satisfied:

$$\begin{aligned} u_0^c(h_i) - u_i &= 0, & \gamma_0^c(h_i) - \gamma_i &= 0 \\ P_{u0}^c(h_f) - 1 &= 0, & \gamma_0^c(h_f) - \gamma_f &= 0 \end{aligned} \quad (25)$$

The error in these equations is used in an outer loop Newton iteration to iterate on the remaining four unknown common parts. These are the left common-part values for u and γ and the right common-part values for P_u and γ . A summary of this procedure is given in Fig. 2.

IV. Numerical Results

Open-Loop Solution

Figures 3 and 4 present the zero-order MAE converged solution for the boundary conditions: $z_i = z_f = 60$ km (initial and final altitudes above sea level), $V_i = 7851$ m/s, $\gamma_i = -1.35$ deg, $\gamma_f = 1.0$ deg, and $\Delta\psi = 20$ deg. The corresponding altitude history is given later in Fig. 9 and the control time histories are given in Figs. 10 and 11 together with the optimal and the zero-order guided solutions. The value of the expansion parameter used here is $\varepsilon = 1/\beta r_s = 11.87 \times 10^{-4}$. Similar results were obtained at other heading changes between 10 deg and 40 deg. In Figs. 3 and 4 the inner solution is shown for the complete range of ψ between its left and right common-part values, which correspond to $\eta = \infty$ ($w_0^i = 0$ in Fig. 1). This was done to illustrate the fact that the matching conditions in Eq. (24) are satisfied on the left and right portions of the solution.

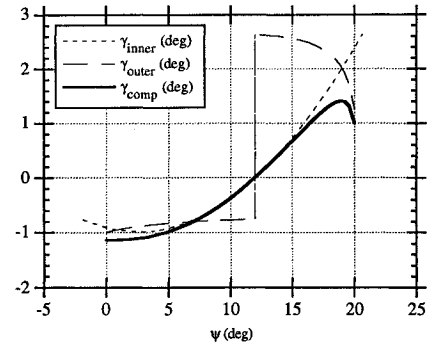


Fig. 3a Zero-order MAE inner, outer, and composite γ solution.

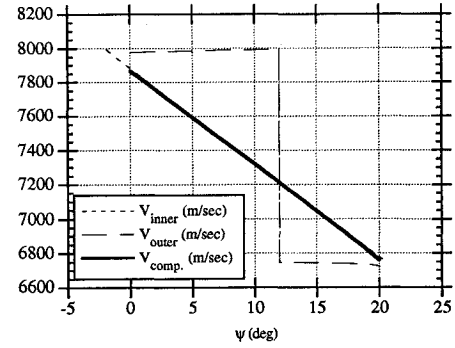


Fig. 3b Zero-order MAE inner, outer, and composite velocity solution.

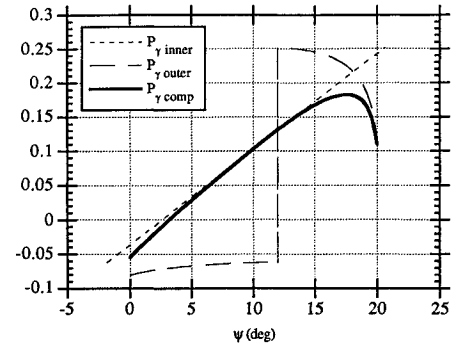


Fig. 4a Zero-order MAE inner, outer, and composite P_γ solution.

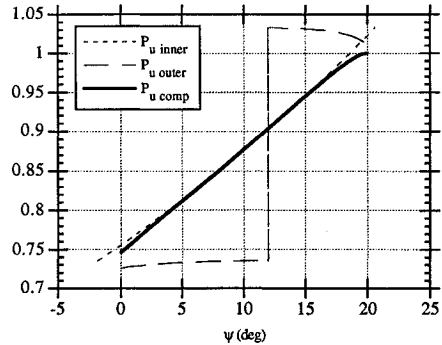


Fig. 4b Zero-order MAE inner, outer, and composite P_u solution.

These results clearly indicate that the composite solutions for γ and p_γ are significantly different from the inner solution. In particular, the major variation in p_γ is due to the outer solution, which (as explained in Ref. 1) in effect amounts to a correction for the large variation in Loh's term during the exit phase. The implications of this important correction have been fully addressed in Ref. 1 and will not be elaborated here. However, we do note that the outer solution plays an important role in forming a uniformly valid zero-order approximation to the exact solution. The normalized lift control λ is always near 1.0, corresponding to

flight at near-maximum lift-to-drag ratio. The bank angle μ is near 90 deg, indicating that most of the aerodynamic force is utilized in performing the turn.

Guided Solution

Closed-loop guided solutions are obtained by using the optimal-control expressions given in Eq. (5). These expressions involve both the states and the costates; thus knowledge of both states and costates is needed to evaluate the controls. Assuming that the states are available for feedback, only estimates of the costates are required at each control computation along the trajectory. Feedback implementation entails treating the current state (from the simulation) at each control update as a new initial state and calculating the costate values corresponding to the same time instant. The estimate for these costates (to zero order) are obtained by repetitively solving the zero-order MAE problem.

At the first step, an initial guess and boundary conditions are supplied to initiate the procedure of obtaining a zero-order MAE converged solution (Appendix). Next, the costate expressions in Eqs. (9–11) and (18–20) can be evaluated as a function of the corresponding independent variables and used in Eq. (23) to construct the composite costate expressions. These are in turn used in Eq. (5) to compute the controls. When a predetermined time increment has been reached, the current states are used as initial conditions for the next MAE calculation. It follows that the initial guess is available in every step of the zero-order MAE calculation after the first.

For control computation between MAE solution updates, the integration constants from the last update are used. The transformations defined in Eqs. (12–15) are used to transform the simulated dimensional variables into the inner and outer dependent and independent variables. The transformed altitude h is used in Eqs. (6), (7), (9), and (10) to compute the left and right outer costates. The heading ψ is used in Eq. (18) to evaluate P_γ , and Eq. (23) is used to calculate the composite costates. The composite costates and the current γ and u [from Eq. (12)] are used in Eq. (5) to evaluate the optimal controls between the time instants where the MAE solution is updated.

During the exit phase, the left outer solution is discarded, and matching is required only between the right outer solution and the inner solution. In this case, the constants of integration for the inner solution are viewed as free parameters to satisfy the boundary conditions.

Figures 5–9 present a comparison between the optimal solution (obtained using a multiple shooting method⁴) and the zero-order guided solution for $\Delta\psi = 20$ deg with the open-loop altitude history added in Fig. 9. The corresponding control time histories are given in Figs. 10 and 11 together with the open-loop controls. Loh's term (corresponding to the optimal solution) is given in Fig. 12. The time increment between MAE solution updates is 5 s, with the control updated at every integration step following the procedure described above. These results indicate that the guided solutions and the optimal solutions are in a very good agreement throughout the trajectory. The error that does exist in some of the guided-solution variables, for example the γ and the altitude (z) solutions, indicate the need for a first-order correction. A general procedure for MAE expansion of the Hamilton–Jacobi–Bellman equation to first order is developed in Ref. 3, along with its application to aeroassisted orbit transfer.

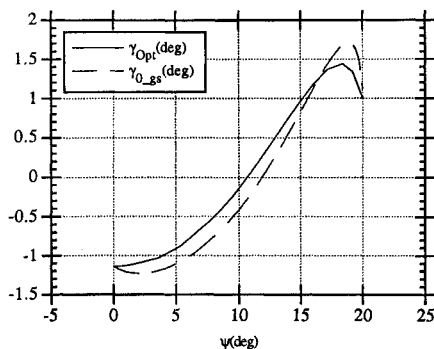


Fig. 5 Optimal and guided γ solutions.

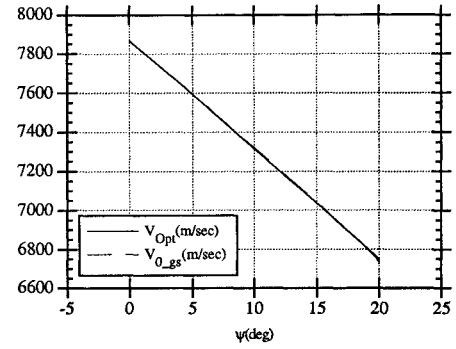


Fig. 6 Optimal and guided velocity solutions.

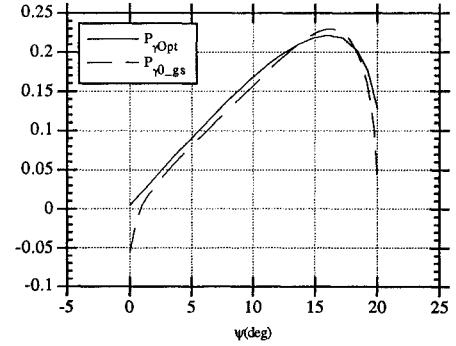


Fig. 7 Optimal and guided P_γ solutions.

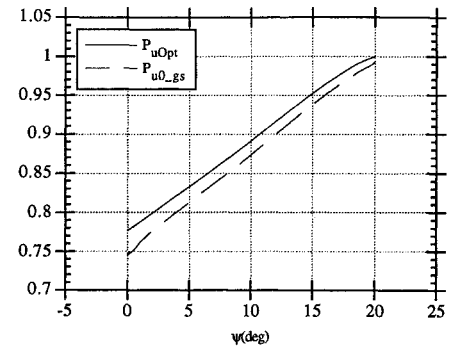


Fig. 8 Optimal and guided P_u solutions.

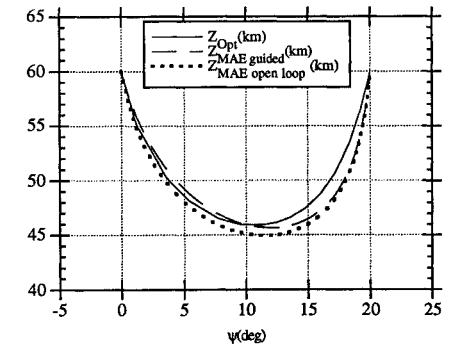


Fig. 9 Optimal, guided, and open-loop altitude solutions.

Figures 7 and 10 clearly indicate how the variation in Loh's term near the end of the trajectory is partially accounted for in the zero-order guided solution. Specifically, the normalized lift coefficient λ given in Fig. 10 does not saturate in the exit phase but reduces to near zero. This is due to the fact that the correction in P_γ from the outer solution is too large (see also Fig. 4a). Figure 13 compares the velocity histories near the end of the trajectory. The optimal and guided-solution values for terminal velocities are 6751 and 6736 m/s, respectively. The difference of the guided value from the optimal one is 15 m/s.

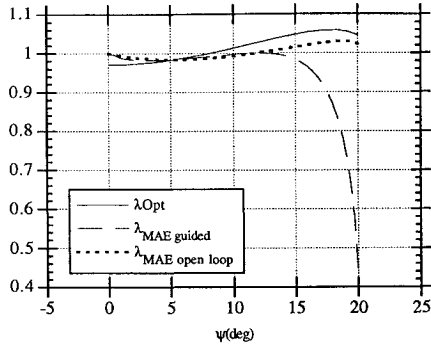


Fig. 10 Optimal, guided, and open-loop normalized lift control.

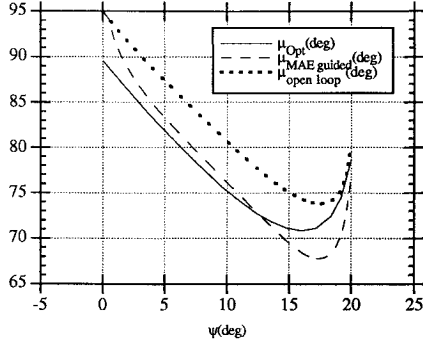


Fig. 11 Optimal, guided, and open-loop bank angle control.

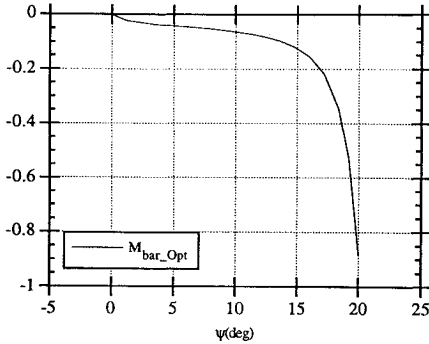


Fig. 12 Optimal Loh's term variation.

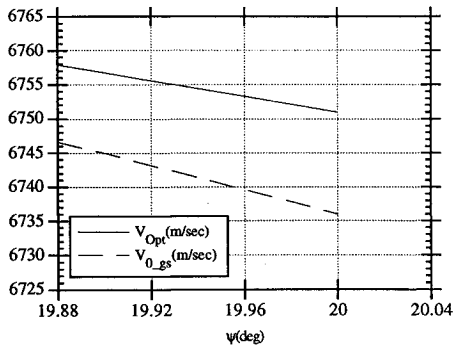


Fig. 13 Optimal and guided performance.

V. Conclusions

Matched asymptotic analysis of aeroassisted inclination change with minimum energy loss leads to a coupled system of algebraic equations with 20 unknowns. By exploiting the structure inherent in the matching procedure, it is possible to significantly reduce the computational complexity and effort needed to solve these equations. Numerical experience shows that the zero-order solution is close to the optimal solution and that the outer solution plays a critical role in accounting for the variations in Loh's term near the exit phase of the maneuver. However, the deficiency that remains in

several of the critical variables indicates the need for a first-order correction.

Appendix: Estimation of Inner Integration Constants

To calculate the six inner integration constants $k_3, k_4, k_5, P_{u0}^i, c$, and P_{v0}^i in Eqs. (15–20), six boundary values must be supplied. These are the left common-part values of γ, v , and ψ and the right common-part values of γ, P_v , and ψ , which will be denoted by subscript L and R , respectively. From Eq. (13) at $\eta \rightarrow \infty$, the common-part values of w are zero; thus $w_L = w_R = 0$. The actual left boundary values of γ, v , and ψ and the right boundary value of γ and ψ are used as an initial guess for $\gamma_L, v_L, \psi_L, \gamma_R$, and ψ_R , respectively. The value of P_{vR} is estimated using the boundary condition that $P_{u0}^o(h_f) = 1.0$ [corresponding to maximizing $u(h_f)$] and the matching condition $P_{u0}^o(0) = P_{vR}$. Note that P_v^i is constant; hence the composite costate $P_{u0}^o(h, \varepsilon)$ is equal to the outer costate $P_{u0}^o(h)$.¹

Using Eqs. (15) and (16) at the left and right boundary conditions, k_1, k_2 , and k_3 become

$$k_1 = -12\sigma \frac{\Delta w}{\Delta \psi^3} - \frac{6(\gamma_R + \gamma_L)}{\Delta \psi^2} \quad (A1)$$

$$k_2 = \frac{\Delta \gamma}{\Delta \psi} + \frac{k_1(\psi_R + \psi_L)}{2} \quad (A2)$$

$$k_3 = -\frac{k_1\psi_R\psi_L}{2} + \frac{\gamma_L\psi_R - \gamma_R\psi_L}{\Delta \psi} \quad (A3)$$

where $\Delta(\cdot) = (\cdot)_R - (\cdot)_L$. Use of Eqs. (A1) and (A2) and the estimate of P_v^i in Eq. (20) provides the values of the constants P_{u0}^i and c . In Ref. 1 it was shown that

$$\sigma^2 = 1 / (1 + k_2^2 + 2k_1k_3) \quad (A4)$$

Next, k_4 and k_5 can be found using Eqs. (16) and (17) at the left boundary conditions:

$$k_4 = \sigma w_L - k_1\psi_L^3/6 + k_2\psi_L^2/2 + k_3\psi_L \quad (A5)$$

$$k_5 = v_L - (\sigma + 1/\sigma)\psi_L - \sigma[(\psi_L k_1 - k_2)^3]/3k_1 \quad (A6)$$

At this stage all the inner states and costates can be evaluated at any ψ between ψ_L and ψ_R as a function of the boundary conditions using Eqs. (15–20). In particular, the initial and final inner solutions are needed to construct the composite solution, which is used to enforce the boundary conditions. Also, at the lowest point in the trajectory ($h = 0, r = r_s$) the composite solution is equal to the inner solution and the flight path angle is zero.¹ Thus, the value of ψ_s that corresponds to this point can be found from Eq. (15) by equating it with zero and then using the result in Eq. (16) to calculate the corresponding value of w_s . This point is illustrated in Fig. 1. Finally, ρ_s and hence the reference radius r_s can be obtained by using w_s and the relationships defined in Eq. (13).

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